## **California Consumer Privacy Act Notice**

This California Consumer Privacy Act Notice for California Consumers ("CCPA Privacy Notice") supplements the Privacy Statement of Aplos, LLC. (collectively, "Aplos," "we," "us," and "our") and applies solely to California consumers. The California Consumer Privacy Act ("CCPA") affords California consumers certain rights over their personal information (subject to certain exceptions). This CCPA Privacy Notice outlines those rights and explains how such rights may be exercised. This CCPA Privacy Notice also summarizes our data collection and sharing practices, as required by the CCPA. Terms used but not defined shall have the meaning ascribed to them in the CCPA. You may download a copy of this CCPA Privacy Notice here.

# 1. What Is Information is Covered by this CCPA Privacy Notice?

This CCPA Privacy Notice relates to our collection, use and disclosure of California consumers' personal information. "**Personal information**" generally means information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a particular consumer or household. For a list of the categories of personal information that we collect, please see section 7 (Summary of Collection, Use, and Disclosure of Personal Information) below.

## 2. How Do We Collect Personal Information?

For a list of the categories of sources from which we may collect Personal Information, please see Section 7 (Summary of Collection, Use and Disclosure of Personal Information) below.

#### 3. How Do We Use Personal Information?

We may use your Personal Information for a variety of business and commercial purposes. "Business purpose" generally refers to the use of Personal Information for our operational purpose. "Commercial purpose" refers to when we use Personal Information to advance our commercial or economic interests including, for example, by encouraging others to purchase our products and services. For a more fulsome explanation of how we may use each category of Personal Information, please see section 7 (Summary of Collection, Use and Disclosure of Personal Information) below. We do not "sell" Personal Information as defined by the CCPA.

#### 4. Who Do We Share Personal Information With?

We may share your personal information with the third parties described below. For a more detailed summary of the categories of third parties we may share your personal information with, please see Section 7 (Summary of Collection, Use and Disclosure of Personal Information) below.

- a) Service Providers. We may share your personal information with third party Service Providers who work on behalf of, or with, us such as vendors, processors, suppliers, technology companies, professional service firms, data management companies, and other agents and representatives. Service Providers assist us with a variety of functions including, but not limited to, sending out marketing communications, assisting with advertising and related analytics, sending regular mail and e-mail, processing credit card payments, and hosting our website and services, as well as hosting certain data.
- b) Disclosures Under Special Circumstances. We may disclose your Personal Information to the following third parties under special circumstances ("Lawful Recipients"): (i) where we have a good faith belief that such disclosure is necessary to meet any applicable law, regulation, legal process or other legal obligation; (ii) when we believe disclosure is necessary to protect or prevent harm or financial loss; or (iii) to detect, investigate and help prevent security, fraud or technical issues.

- c) Corporate Transactions. We may transfer your Personal Information to one or more actual or potential third party investors, owners, or successors ("Successors") in the event we sell or transfer, or are considering selling or transferring, all or a portion of our business or assets.
- **d) Customers.** We may share Personal Information about Donors and Consumers with our Customers. For example, if you are a Donor, we will share your Personal Information with the organization to which you have donated. If you are a Consumer, we will share your Personal Information with our Customers if you register for one of their events, respond to a survey, or request information from that organization through our Service. In addition, if you are selected for or participate in a research study, we may disclose your information to the Customers that hired us to assist with the research study as well as other companies designated by the Customers.

# 5. <u>Do We Collect the Personal Information of Children?</u>

We do not knowingly collect or store any Personal Information from anyone under the age of 16. If we become aware that we have collected or stored Personal Information from an individual under age 16, we will remove his or her Personal Information from our files. If you are a parent or guardian and believe we may have inadvertently collected Personal Information from your child, please notify us immediately by sending an email to <a href="mailto:privacy@aplos.com">privacy@aplos.com</a>.

# 6. <u>Summary of Collection and Disclosure of Personal Information</u>.

The table below summarizes our collection and sharing practices relating to Personal Information, including with respect to Personal Information we have collected, used and disclosed in the preceding 12 months.

Category of Personal Information	Source of Personal Information	Purposes for Collection/Potential Uses of Personal Information	Categories of Third-Parties With Whom Information Was Shared
Representative Data Elements: real name; alias; postal address; telephone numbers; unique personal identifier; online identifier; Internet Protocol Address; email address; email address; account name, social security number, driver's license number, passport number and other similar identifiers	Consumers, Customers, Donors, Service Providers	<ul> <li>Confirming your identity</li> <li>Providing, developing, maintaining, and improving our products and Services (e.g. collecting information requested by Customers as part of a research study, evaluating the performance of our staff, assessing the quality of our products and Services, and helping us improve our websites and processes)</li> <li>Processing and fulfilling a request or other transaction submitted to us</li> <li>Processing payments</li> <li>Completing contracts as well as disclosures or other documents required by law.</li> <li>Allowing creation of and access to an online customer portal</li> </ul>	Service Providers, Customers, Lawful Recipients, Successors

		<ul> <li>Communicating with you (e.g. for customer service purposes, to discuss billing or payments, or to notify you about past-due payments)</li> <li>Marketing and advertising our products and Services</li> <li>Complying with our legal obligations</li> <li>Protecting our legitimate business interests and legal rights</li> </ul>	
Any categories of personal information described in subdivision (e) of Section 1798.80  Representative Data Elements: name, signature, Social Security number, physical characteristics or description, address, telephone number, passport number, driver's license or state identification card number, insurance policy number, employment history, financial account number or any other financial information, medical information, or health insurance information	Consumers, Customers, Donors, Service Providers	<ul> <li>Confirming your identity</li> <li>Providing, developing, maintaining, and improving our products and Services (e.g. collecting information requested by Customers as part of a research study, evaluating the performance of our staff, assessing the quality of our products and Services, and helping us improve our websites and processes)</li> <li>Processing and fulfilling a request or other transaction submitted to us</li> <li>Processing payments</li> <li>Completing contracts as well as any disclosures or other documents required by law.</li> <li>Allowing creation of and access to an online customer portal</li> <li>Communicating with you (e.g. for customer service purposes, to discuss billing or payments, or to notify you about past-due payments)</li> <li>Marketing and advertising our products and Services</li> <li>For research and analytical purposes (e.g. identifying trends and effectiveness of marketing campaigns)</li> <li>Complying with our legal obligations</li> <li>Protecting our legitimate business interests and legal rights</li> </ul>	Service Providers, Customers, Lawful Recipients, Successors
Characteristics of protected classifications under	Consumers, Customers, Donors,	<ul> <li>legal rights</li> <li>Confirming your identity</li> <li>Providing, developing, maintaining, and improving our products and Services (e.g. collecting information requested by Customers as part of a</li> </ul>	Service Providers, Customers, Lawful

California or federal	Service	research study, evaluating the performance of our	Recipients,
Representative Data Elements: Age (40 years or older), race, color, ancestry, national origin,	Providers	staff, assessing the quality of our products and Services, and helping us improve our websites and processes)	Successors
		Processing and fulfilling a request or other transaction submitted to us	
citizenship, religion or creed, marital status, medical condition,		<ul> <li>Processing payments</li> <li>Completing contracts as well as any disclosures or other documents required by law.</li> </ul>	
physical or mental disability, sex (including gender, gender identity,		Allowing creation of and access to an online customer portal	
gender expression, pregnancy or childbirth and related medical conditions),		Communicating with you (e.g. for customer service purposes, to discuss billing or payments, or to notify you about past-due payments)	
sexual orientation, veteran or military status, genetic		<ul> <li>Marketing and advertising our products and Services</li> </ul>	
information (including familial genetic information		<ul> <li>For research and analytical purposes (e.g. identifying trends and effectiveness of marketing campaigns)</li> </ul>	
		Complying with our legal obligations	
		Protecting our legitimate business interests and legal rights	
Commercial Information  Representative Data Elements: records of	Consumers, Customers, Donors, Service Providers	<ul> <li>Providing, developing, maintaining, and improving our products and Services (e.g. evaluating the performance of our staff, assessing the quality of our products and Services, and helping us improve our websites and processes)</li> </ul>	Service Providers, Customers, Lawful Recipients, Successors
personal property, products or services purchased, obtained, or considered, or		<ul> <li>Processing and fulfilling a request or other transaction submitted to us</li> </ul>	Juccessors
other purchasing or consuming histories or tendencies		Communicating with you (e.g. for customer service purposes, to discuss billing or payments, or to notify you about past-due payments)	
		<ul> <li>For research and analytical purposes (e.g. identifying trends and effectiveness of marketing campaigns)</li> </ul>	
Internet or other electronic network activity information	Consumers, Customers, Donors, Service	<ul> <li>Confirming your identity</li> <li>Providing, developing, maintaining, and improving our products and Services (e.g. c, evaluating the</li> </ul>	Service Providers, Lawful Recipients,
Representative Data Elements: browsing	Providers	performance of our staff, assessing the quality of	Successors

history; search history; and information regarding a consumer's interaction with an Internet Web site, application, or advertisement  Geolocation data  Representative Data Elements: IP Addresses (from which we may infer your general location)	Consumers, Customers, Donors, Service Providers	<ul> <li>our products and Services, and helping us improve our websites and processes)</li> <li>Completing contracts as well as any disclosures or other documents required by law.</li> <li>Allowing creation of and access to an online customer portal</li> <li>Communicating with you (e.g. for customer service purposes, to discuss billing or payments, or to notify you about past-due payments)</li> <li>Marketing and advertising our products and Services</li> <li>For research and analytical purposes (e.g. identifying trends and effectiveness of marketing campaigns)</li> <li>For our business operations</li> <li>Complying with our legal obligations</li> <li>Protecting our legitimate business interests and legal rights</li> <li>Confirming your identity</li> <li>Providing, developing, maintaining, and improving our products and Services (e.g. evaluating the performance of our staff, assessing the quality of our products and Services, and helping us improve our websites and processes.</li> <li>Processing and fulfilling a request or other transaction submitted to us</li> </ul>	Service Providers, Lawful Recipients, Successors
		<ul> <li>Allowing creation of and access to an online customer portal</li> <li>For research and analytical purposes (e.g. identifying trends and effectiveness of marketing campaigns)</li> </ul>	
		<ul> <li>Complying with our legal obligations</li> <li>Protecting our legitimate business interests and legal rights</li> </ul>	
Audio, electronic visual, thermal, olfactory of similar information	Consumers, Customers, Donors	<ul> <li>Confirming your identity</li> <li>Providing, developing, maintaining, and improving our products and Services (e.g. evaluating the performance of our staff, assessing the quality of</li> </ul>	Service Providers, Customers, Lawful

Representative Data Elements: information from voicemail messages and/or recorded phone calls, video recordings at our classes		<ul> <li>our products and Services, and helping us improve our websites and processes)</li> <li>Processing and fulfilling a request or other transaction submitted to us</li> <li>Communicating with you (e.g. for customer service purposes, to discuss billing or payments, or to notify you about past-due payments)</li> <li>For research and analytical purposes (e.g. identifying trends and effectiveness of marketing campaigns)</li> <li>Complying with our legal obligations</li> <li>Protecting our legitimate business interests and legal rights</li> </ul>	Recipients, Successors
Professional or employment-related information  Representative Data Elements: current employer, current position (may vary depending on data requested by a Customer)	Consumers, Customers, Donors	Processing and fulfilling a request or other transaction submitted to us	Service Providers, Customers, Lawful Recipients, Successors
Non-public education information (per the Family Educational Rights and Privacy Act (20 U.S.C. Section 1232g, 34 C.F.R. Part 99))	Consumers, Customers, Donors	Processing and fulfilling a request or other transaction submitted to us	Service Providers, Customers, Lawful Recipients, Successors
Representative Data Elements: information regarding whether a consumer is or will soon be a college graduate			

- **7. Your Rights:** Subject to certain exceptions, the CCPA affords you the following rights:
  - a) Right to Know/Access Personal Information Collected, Disclosed, or Sold.

    You have the right to request that we disclose the following information for the 12-month period preceding your request:
    - (1) The categories of Personal Information that we have collected about that consumer;

- (2) The categories of sources from which the Personal Information was collected;
- (3) The business or commercial purpose for collecting or selling the Personal Information;
- (4) The categories of third parties with whom we share the Personal Information; and/or
- (5) The specific pieces of Personal Information we have collected about that consumer.

# b) Right to Not Be Discriminated Against

You have the right not to receive discriminatory treatment from us for the exercise of the privacy rights conferred by CCPA and listed in this CCPA Privacy Notice.

# 8. How to Exercise Your CCPA Rights

- **a) Submitting a Request to Know or Request to Delete.** You may submit a Request to Know or a Request to Delete by contacting us at this toll-free number, 1 (888) 674-5923, or by using this interactive webform.
- b) **Verification Methods.** Once you submit a request, we will verify that you are the consumer to which the request pertains to your **name**, **email address** and **physical address** with information we maintain. Depending on the type of request you submit, we will attempt to match either two or three of these data points you provided. If we are unable to verify your request with the data points you provided, we may reach out to you for additional information to verify your request.

## 9. How to Authorize an Agent to Exercise Your Rights

California consumers have the right to designate an authorized agent to exercise their CCPA rights. To designate an authorized agent, you must either provide the agent with an executed power of attorney or provide the authorized agent with written permission, signed by you, to exercise your CCPA rights. The agent must also be registered with the California Secretary of State.

### 10. <u>Individuals with Disabilities</u>

If you have a disability and need access to our CCPA Privacy Notice in a different format, please contact us at 1 (888) 674-5923 or <a href="mailto:privacy@aplos.com">privacy@aplos.com</a>.

#### 11. Contact Information

If you have any questions or concerns about our privacy policies and practices, please contacts us at via email at <a href="mailto:privacy@aplos.com">privacy@aplos.com</a>.

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